

1 **BILL NO. S-19-05-08**

2 SPECIAL ORDINANCE NO. S-6419

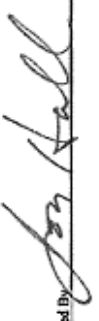
3 AN ORDINANCE approving CONSTRUCTION
4 CONTRACT - FLUTTER ROAD: RIVER HOLLOW TO
5 WHEELOCK WATER MAIN EXTENSION -
6 RESOLUTION/WORK ORDER #66773 -
7 (\$326,100.00) between UNDERGROUND
8 CONTRACTORS and the City of Fort Wayne, Indiana,
9 in connection with the Board of Public Works.

10 **NOW, THEREFORE, BE IT ORDAINED BY THE COMMON**
11 **COUNCIL OF THE CITY OF FORT WAYNE, INDIANA:**

12 **SECTION 1.** That the CONSTRUCTION CONTRACT - FLUTTER
13 ROAD: RIVER HOLLOW TO WHEELOCK WATER MAIN EXTENSION -
14 RESOLUTION/WORK ORDER #66773 - (\$326,100.00) by and between
15 UNDERGROUND CONTRACTORS and the City of Fort Wayne, Indiana, in
16 connection with the Board of Public Works, is hereby ratified, and affirmed and
17 approved in all respects, respectfully for:

18 All labor, insurance, material, equipment, tools, power,
19 transportation, miscellaneous equipment, etc., necessary for
20 installation of 4,770LF of 12 inch HDPE by Horizontal Directional
21 Drilling starting at River Hollow Road running East to Wheelock
22 Road including all valves, hydrants and appurtenances;

23 involving a total cost of THREE HUNDRED TWENTY-SIX THOUSAND ONE
24 HUNDRED AND 00/100 DOLLARS - (\$326,100.00). A copy of said Contract is on
25 file with the Office of the City Clerk and made available for public inspection,
26 according to law.
27
28
29
30

PROJECT:		Flunter Road: River Hollow to Wheelock Water Main Extension											
Resolution#:		66773											
Work Order#:		66773											
Project Designer:		Jon Hall											
Construction Manager:		Zack Schaefer											
Manager:		Michael Kiester											
Bid Date: (Quote Date):		April 30, 2019											
Funding:		State Revolving Fund											
BASE BID													
Item#	Description	Quantity	Unit	Engineer's Estimate		Underground Contractors		Rothenberger Co., Inc.		Hank's Plumbing and Heating Co., Inc.		S & S Directional Drilling, Ltd.	
				Unit Price	Extension	Unit Price	Extension	Unit Price	Extension	Unit Price	Extension	Unit Price	Extension
1	Mobilization and Demobilization (5% Minimum)	1	LS	\$12,000.00	\$ 12,000.00	\$ 15,000.00	\$ 15,000.00	\$ 6,000.00	\$ 6,000.00	\$ 10,000.00	\$ 10,000.00	\$ 15,000.00	\$ 15,000.00
2	Work Allowance	1	LS	\$10,000.00	\$ 10,000.00	\$ 10,000.00	\$ 10,000.00	\$ 10,000.00	\$ 10,000.00	\$ 10,000.00	\$ 10,000.00	\$ 10,000.00	\$ 10,000.00
3	Utility Trench Bidding Allowance	1	LS	\$5,000.00	\$ 5,000.00	\$ 5,000.00	\$ 5,000.00	\$ 5,000.00	\$ 5,000.00	\$ 5,000.00	\$ 5,000.00	\$ 5,000.00	\$ 5,000.00
4	Convey Permits	1	LS	\$3,500.00	\$ 3,500.00	\$ 3,500.00	\$ 3,500.00	\$ 3,500.00	\$ 3,500.00	\$ 3,500.00	\$ 3,500.00	\$ 3,500.00	\$ 3,500.00
5	Maintenance and Protection of Traffic	1	LS	\$1,500.00	\$ 1,500.00	\$ 6,000.00	\$ 6,000.00	\$ 2,500.00	\$ 2,500.00	\$ 5,000.00	\$ 5,000.00	\$ 3,500.00	\$ 3,500.00
6	Video Documentation of Conditions	1	LS	\$1,000.00	\$ 1,000.00	\$ 1,750.00	\$ 1,750.00	\$ 1,000.00	\$ 1,000.00	\$ 1,800.00	\$ 1,800.00	\$ 1,000.00	\$ 1,000.00
7	Cover Furnished 12" HDPE Pressure Utility Piping	4,770	LF	\$48.00	\$ 228,960.00	\$ 40.00	\$ 190,800.00	\$ 47.55	\$ 226,813.50	\$ 58.00	\$ 276,660.00	\$ 31.0,050.00	\$ 1,478,030,500.00
8	Cover Furnished 6" HDPE Pressure Utility Piping	149	LF	\$32.00	\$ 4,768.00	\$ 120.00	\$ 16,800.00	\$ 87.85	\$ 8,096.20	\$ 35.00	\$ 4,900.00	\$ 80.00	\$ 11,200.00
9	Water Main Connection (Connect to existing valve or water main "cut in (Bidding)")	2	EA	\$5,200.00	\$ 10,400.00	\$ 5,750.00	\$ 11,500.00	\$ 7,076.35	\$ 14,152.70	\$ 1,800.00	\$ 3,600.00	\$ 7,500.00	\$ 15,000.00
10	Test Riser (Temporary)	5	EA	\$1,000.00	\$ 5,000.00	\$ 1,100.00	\$ 5,500.00	\$ 875.200	\$ 4,376.00	\$ 1,200.00	\$ 6,000.00	\$ 750.000	\$ 3,750.00
11	Temporary 2" Blow-off Assembly	1	EA	\$2,000.00	\$ 2,000.00	\$ 1,250.00	\$ 1,250.00	\$ 1,614.00	\$ 1,614.00	\$ 1,500.00	\$ 1,500.00	\$ 350.00	\$ 350.00
12	Fire Hydrant Assembly A3 Type	6	EA	\$6,800.00	\$ 40,800.00	\$ 7,000.00	\$ 42,000.00	\$ 6,626.26	\$ 39,757.56	\$ 6,000.00	\$ 36,000.00	\$ 8,500.00	\$ 51,000.00
13	12" Resilient Seat Gate Valve and Box	4	EA	\$4,000.00	\$ 16,000.00	\$ 4,250.00	\$ 17,000.00	\$ 4,325.00	\$ 17,300.00	\$ 5,000.00	\$ 20,000.00	\$ 4,500.00	\$ 18,000.00
TOTAL BASE BID				\$	347,848.00	\$	284,100.00	\$	342,709.56	\$	383,300.00	\$	1,679,087,300.00
ALTERNATE BID SCHEDULE A													
Item#	Description	Quantity	Unit	Engineer's Estimate		Underground Contractors		Rothenberger Co., Inc.		Hank's Plumbing and Heating Co., Inc.		S & S Directional Drilling, Ltd.	
				Unit Price	Extension	Unit Price	Extension	Unit Price	Extension	Unit Price	Extension	Unit Price	Extension
14	Add for Contractor Supplied 12" HDPE Pressure Utility Piping	4,770	LF	\$30.00	\$ 143,100.00	\$ 29.00	\$ 138,330.00	\$ 29.69	\$ 141,651.30	\$ 29.00	\$ 138,330.00	\$ 34.00	\$ 162,180.00
15	Add for Contractor Supplied 6" HDPE Pressure Utility Piping	140	LF	\$12.00	\$ 1,680.00	\$ 8.00	\$ 1,120.00	\$ 9.33	\$ 1,306.20	\$ 10.80	\$ 1,512.00	\$ 9.00	\$ 1,260.00
TOTAL ALTERNATE BID SCHEDULE A				\$	144,780.00	\$	139,450.00	\$	142,957.50	\$	139,842.00	\$	163,440.00
TOTAL BASE BID ONLY				\$	347,848.00	\$	284,100.00	\$	342,709.56	\$	383,300.00	\$	1,679,087,300.00
TOTAL BASE BID + ALTERNATE BID SCHEDULE A				\$	492,628.00	\$	423,550.00	\$	485,667.06	\$	523,142.00	\$	1,842,527,300.00
BASIS OF AWARD: TOTAL BASE BID ONLY				\$	347,848.00	\$	284,100.00	\$	342,709.56	\$	383,300.00	\$	1,679,087,300.00
Addendum No. 1													
Bidder's Bond													
Form 95													
Non-Confession Affidavit													
Cert In Lieu Financial Statement													
Employee Drug Testing Program (CS150K)													
Reviewed By: 													

**AGREEMENT
BETWEEN OWNER AND CONTRACTOR
ON THE BASIS OF A STIPULATED PRICE**

Resolution 66773

Work Order 66773

THIS AGREEMENT is by and between the Board of Public Works of the City of Fort Wayne, Indiana (hereinafter called Owner) and Underground Contractors (hereinafter called Contractor).

Owner and Contractor, in consideration of the mutual covenants hereinafter set forth, agree as follows:

ARTICLE 1 – WORK

1.01 Contractor shall complete all Work as specified or indicated in the Contract Documents. The Work is generally described as follows:

The installation of 4,770 LF of 12 inch HDPE by Horizontal Directional Drilling starting at River Hollow Road running East to Wheelock Road including all valves, hydrants and appurtenances.

ARTICLE 2 – THE PROJECT

2.01 The Project for which the Work under the Contract Documents may be the whole or only a part is generally described as follows:

Flutter Road: River Hollow to Wheelock Water Main Extension

ARTICLE 3 – ENGINEER

3.01 The Project has been designed by City of Fort Wayne, City Utilities Engineering. Throughout these Contract Documents, the term Engineer is used. Engineer is to act as Owner's representative, assume all duties and responsibilities, and have the rights and authority assigned to Engineer in the Contract Documents in connection with the completion of the Work in accordance with the Contract Documents. Owner will advise Contractor prior to issuance of Notice to Proceed as to who will serve as Engineer.

ARTICLE 4 – CONTRACT TIMES

4.01 Time of the Essence

A. All time limits for Milestones, if any, Substantial Completion, and completion and readiness for final payment as stated in the Contract Documents are of the essence of the Contract.

4.02 Days to Achieve Substantial Completion and Final Payment

- A. The Work will be substantially completed within **120** days after the date when the Contract Times commence to run as provided in Paragraph 2.03 of the General Conditions, and completed and ready for final payment in accordance with Paragraph 14.07 of the General Conditions by **5/15/2020**.
- B. Definitions of Substantial Completion for this Work shall consist of the water main successfully passing pressure testing and disinfection and the gap is closed.

4.03 Liquidated Damages

- B. Contractor and Owner recognize that time is of the essence of this Agreement and that Owner will suffer financial loss if the Work is not completed within the times specified in Paragraph 4.02 above, plus any extensions thereof allowed in accordance with Article 12 of the General Conditions. The parties also recognize the delays, expense, and difficulties involved in proving in a legal or arbitration proceeding the actual loss suffered by Owner if the Work is not completed on time. Accordingly, instead of requiring any such proof, Owner and Contractor agree that as liquidated damages for delay (but not as a penalty), Contractor shall pay Owner **\$1,000.00** for each day that expires after the time specified in Paragraph 4.02 above for Substantial Completion until the Work is substantially complete. After Substantial Completion, if Contractor shall neglect, refuse, or fail to complete the remaining Work within the Contract Time or any proper extension thereof granted by Owner, Contractor shall pay Owner **\$1,000.00** for each day that expires after the time specified in Paragraph 4.02 above for completion and readiness for final payment until the Work is completed and ready for final payment.

ARTICLE 5 – CONTRACT PRICE

5.01 Owner shall pay Contractor for completion of the Work in accordance with the Contract Documents an amount in current funds equal to the sum of the amounts determined pursuant to Paragraphs 5.01.A, 5.01.B, and 5.01.C below:

- A. Not used.
- B. For all Unit Price Work, an amount equal to the sum of the established unit price for each separately identified item of Unit Price Work times the actual quantity of that item as indicated in this Paragraph 5.01.B:

As provided in Paragraph 11.03 of the General Conditions, estimated quantities are not guaranteed, and determinations of actual quantities and classifications are to be made by Engineer as provided in Paragraph 9.08 of the General Conditions. Unit prices have been computed as provided in Paragraph 11.03 of the General Conditions.

UNIT PRICE WORK

See Article 5 (itemized Bid Schedule) of the Bid Form

TOTAL OF ALL UNIT PRICES Three Hundred Twenty-Six Thousand One Hundred Dollars and Zero Cents
(\$326,100.00)

- C. Not Used.

ARTICLE 6 – PAYMENT PROCEDURES

6.01 Submittal and Processing of Payments

- A. Contractor shall submit Applications for Payment in accordance with Article 14 of the General Conditions. Engineer will process Applications for Payment as provided in the General Conditions.

6.02 Progress Payments; Retainage

- A. Owner shall make progress payments on account of the Contract Price on the basis of Contractor's Applications for Payment within 45 days of receipt during performance of the Work as provided in Paragraph 6.02.A.1 below. All such payments will be measured by the Schedule of Values established as provided in Paragraph 2.07.A of the General Conditions (and in the case of Unit Price Work based on the number of units completed) or, in the event there is no Schedule of Values, as provided in the General Requirements.
1. Prior to Substantial Completion, progress payments will be made in an amount equal to the percentage indicated below but, in each case, less the aggregate of payments previously made and less such amounts as Engineer may determine or Owner may withhold, in accordance with Paragraph 14.02 of the General Conditions.
 - a. **95%** of Work completed (with the balance being retainage); and
 - b. **95%** of cost of materials and equipment not incorporated in the Work (with the balance being retainage).
- B. Upon Substantial Completion, Owner shall pay an amount sufficient to increase total payments to Contractor to **100%** of the Work completed, less such amounts as Engineer shall determine in accordance with Paragraph 14.02.B.5 of the General Conditions and less **200%** of Engineer's estimate of the value of Work to be completed or corrected as shown on the tentative list of items to be completed or corrected attached to the certificate of Substantial Completion. However, payments to the Contractor are not to exceed 95% of the total Contract Price until the Owner has verified that the Contractor has made good faith efforts to attain the Indiana Finance Authority (IFA) State Revolving Fund (SRF) goals stipulated in Paragraph 10.06. Payment of the final 5% of the total Contract Price will be dependent upon acceptance of the Work, in accordance with Paragraph 14.07 of the General Conditions, and good faith efforts to comply with the IFA/SRF participation requirements; subject to reduction in the event of non-compliance as provided in Paragraph 10.06.
- C. Escrow Agreement.
1. If the Contract is in excess of \$200,000, the contract will be subject to the standard Board of Public Works escrow agreement; unless written notice is received from Contractor prior to execution of the Agreement stating that an Escrow Account is not desired. If an Escrow Account is not desired, then the Board of Public Works, in accordance with IC 36-1-12-14, shall hold the retainage and will not pay interest on the amounts of retainage that it holds.

6.03 Final Payment

- A. Upon final completion and acceptance of the Work in accordance with Paragraph 14.07 of the General Conditions, Owner shall pay the remainder of the Contract Price as recommended by Engineers as provided in said Paragraph 14.07.

ARTICLE 7 – INTEREST

- 7.01 All moneys not paid when due as provided in Article 14 of the General Conditions shall bear interest at the rate at the maximum rate allowed by law at the place of the Project.

ARTICLE 8 – CONTRACTOR'S REPRESENTATIONS

- 8.01 In order to induce Owner to enter into this Agreement, Contractor makes the following representations:
- A. Contractor has examined and carefully studied the Contract Documents and the other related data identified in the Bidding Documents.

- B. Contractor has visited the Site and become familiar with and is satisfied as to the general, local, and Site conditions that may affect cost, progress, and performance of the Work.
- C. Contractor is familiar with and is satisfied as to all federal, state, and local Laws and Regulations that may affect cost, progress, and performance of the Work.
- D. Contractor has carefully studied all: (1) reports of exploration and tests of subsurface conditions at or contiguous to the Site and all drawings of physical conditions in or relating to existing surface or subsurface structures at or contiguous the site (except Underground Facilities), which have been identified in the Supplementary Conditions as provided in Paragraph 4.02 of the General Conditions and (2) reports and drawings of Hazardous Environmental Conditions, if any, at the Site that have been identified in the Supplementary Conditions as provided in Paragraph 4.06 of the General Conditions.
- E. Contractor has obtained and carefully studied (or assumes responsibility for having done so) all additional supplementary examinations, investigations, explorations, tests, studies, and data concerning conditions (surface, subsurface, and Underground Facilities) at or contiguous to the Site which may affect cost, progress, or performance of the Work or which relate to any aspect of means, methods, techniques, sequences, and procedures of construction to be employed by Contractor including applying the specific means, methods, techniques, sequences, and procedures of construction, if any expressly required by the Contract Documents to be employed by Contractor, and safety precautions and programs incident thereto.
- F. Contractor does not consider that further examinations, investigations, explorations, tests, studies, or data are necessary for the performance of the Work at the Contract Price, within the Contract Times, and in accordance with the other terms and conditions of the Contract Documents.
- G. Contractor is aware of the general nature of work to be performed by Owner and others at the Site that relates to the Work as indicated in the Contract Documents.
- H. Contractor has correlated the information known to Contractor, information and observations obtained from visits to the Site, report and drawings identified in the Contract Documents, and all additional examinations, investigations, explorations, tests, studies and data with the Contract Documents.
- I. Contractor has given Design Manager written notice of all conflicts, errors, ambiguities, or discrepancies that Contractor has discovered in the Contract Documents, and the written resolution thereof provided by Design Manager is acceptable to Contractor.
- J. The Contract Documents are generally sufficient to indicate and convey understanding of all terms and conditions for performance and furnishing of the Work.
- K. In connection with the performance of Work under this Contract, Contractor agrees to comply with Anti-Discrimination Under Indiana Code Chapter 5-16-6-1 and be bound by Chapter 93.036, of the Code of the City of Fort Wayne, Indiana of 1974, passed by the Common Council of the City of Fort Wayne, Indiana as General Ordinance No. G-34-78 (as amended) on December 12th, 1978. Contractor shall file a Manpower Utilization Report for this project with the Compliance Office within ten (10) days after completion of the construction or upon request of the Office of Compliance.

ARTICLE 9 – CONTRACT DOCUMENTS

9.01 Contents

- A. The Contract Documents consist of the following:
 - 1. This Agreement (pages 00 52 00-1 to 00 52 00-9, inclusive);

2. Escrow Account Agreement (pages 00 54 43-1 to 00 54 43-3, inclusive);
3. Drug Policy Acknowledgement Form (page 00 54 52-1);
4. E-Verify Affidavit (page 00 54 53-1, inclusive);
5. SRF Loan Program DBE Packet (pages 00 54 61-1 to 00 54 61-4, inclusive);
6. SRF Attachment B – Davis-Bacon Act Contract Provisions (pages 00 54 65-1 to 00 54 65-8, inclusive);
7. SRF Attachment C – Wage Fringe Benefit Certification Contract Provisions (page 00 54 66-1);
8. SRF Attachment E – Suspension and Debarment Contract Provisions (page 00 54 68-1);
9. SRF Attachment I – AIS Contract Provisions
10. SRF Attachment J – AIS Contractor Certification
11. SRF EPA Form OEE-1 (page 00 54 72-1);
12. SRF EPA Form OEE-2 (page 00 54 73-1);
13. Performance Bond Form (pages 00 61 13.13-1 to 00 61 13.13-2, inclusive);
14. Payment Bond Form(pages 00 61 13.16-1 to 00 61 13.16-2, inclusive);
15. Federal Wage Rate Requirements (see Appendix);
16. General Conditions (pages 00 72 00-1 to 00 72 00-41, inclusive);
17. Supplementary Conditions (pages 00 73 00-1 to 00 73 00-12, inclusive);
18. Miscellaneous Work Items (pages 01 11 21-1 to 01 11 21-3);
19. Specifications as listed in the Table of Contents of the Project Manual
20. Drawings consisting of Drawings Y-21018, inclusive, with each sheet bearing the following general title:
66773 Flutter Road – River Hollow to Wheelock Water Main Extension;
21. Addenda (number 1, inclusive);
22. Attachments to this Agreement (enumerated as follows);
 - a. Contractor’s Bid Schedule (page 00 41 00-3);
 - b. Documentation submitted by Contractor prior to Notice of Award;
23. The following which may be delivered or issued on or after the Effective Date of the Agreement and are not attached hereto:
 - a. Notice to Proceed;
 - b. Written Amendments;

- c. Work Change Directives;
- d. Change Orders;
- B. The documents listed in Paragraph 9.01.A are attached to this Agreement (except as expressly noted otherwise above).
- C. There are no Contract Documents other than those listed above in this Article 9.
- D. The Contract Documents may only be amended, modified, or supplemented as provided in Paragraph 3.04 of the General Conditions.

ARTICLE 10 – MISCELLANEOUS

10.01 Terms

- A. Terms used in this Agreement will have the meanings stated in the General Conditions.

10.02 Assignment of Contract

- A. No assignment by a party hereto of any rights under or interests in the Contract will be binding on another party hereto without the written consent of the party sought to be bound; and, specifically but without limitation, moneys that may become due and moneys that are due may not be assigned without such consent (except to the extent that the effect of this restriction may be limited by law), and unless specifically stated to the contrary in any written consent to an assignment, no assignment will release or discharge the assignor from any duty or responsibility under the Contract Documents.

10.03 Successors and Assigns

- A. Owner and Contractor each binds itself, its partners, successors, assigns, and legal representatives to the other party hereto, its partners, successors, assigns, and legal representatives in respect to all covenants, agreements, and obligations contained in the Contract Documents.

10.04 Severability

- A. Any provision or part of the Contract Documents held to be void or unenforceable under any Law or Regulation shall be deemed stricken, and all remaining provisions shall continue to be valid and binding upon Owner and Contractor, who agree that the Contract Documents shall be reformed to replace such stricken provision or part thereof with a valid and enforceable provision that comes as close as possible to expressing the intention of the stricken provision.

10.05 Contractor's Certifications

- A. Contractor certifies that it has not engaged in corrupt, fraudulent, collusive, or coercive practices in competing for or in executing the Contract. For the purposes of this Paragraph 10.05:
1. "corrupt practice" means the offering, giving, receiving, or soliciting of anything of value likely to influence the action of a public official in the bidding process or in the Contract execution;
 2. "fraudulent practice" means an intentional misrepresentation of facts made (a) to influence the bidding process or the execution of the Contract to detriment of Owner, (b) to establish Bid or Contract prices at artificial non-competitive levels, or (c) to deprive Owner of the benefits of free and open competition;
 3. "collusive practice" means a scheme or arrangement between two or more Bidders, with or without the knowledge of Owner, a purpose of which is to establish Bid prices at artificial, non-competitive levels; and
 4. "coercive practice" means harming or threatening to harm, directly or indirectly, persons or their property to influence their participation in the bidding process or affect the execution of the contract.

10.06 M.B.E./W.B.E. Participation

- A. **Indiana State Revolving Fund Loan Program** – This project is being financed in whole or in part by the Indiana State Revolving Fund Loan Programs. The Contractor is required to comply with all federal and state laws, rules, regulations, and contract provisions included in Indiana Finance Authority (IFA) State Revolving Fund (SRF) documents per Article 9 of this agreement
- (IFA SRF requirements and forms are available on the IFA SRF website.)
- B. **Request for Waiver** – If, at the time final payment application is made, Contractor has not attained the IFA/SRF goal, Contractor shall file with the final payment application a "Request for Waiver." Said Request for Waiver shall contain a written description of the efforts taken by Contractor to attain the goal.
- C. **Determination of Waiver Requests** – The Vendor Compliance Department of the City of Fort Wayne shall examine all Requests for Waiver to determine if Contractor's efforts constitute good faith efforts to attain such goal and shall submit recommendations concerning said requests for Waiver for the final determination of the Board of Public Works of the City of Fort Wayne.
- D. **Waiver Approved** – In the event the Board of Public Works determines that a good faith effort to comply with the IFA/SRF participation requirements has been made, the Contract Price shall not be reduced, and the balance owing to the Contractor shall be paid in full.

10.07 Other Provisions

- A. None.

IN WITNESS WHEREOF, Owner and Contractor have signed this Agreement (Contract/Resolution Number 66773). One counterpart has been delivered to Owner, and one counterpart delivered to Contractor. All portions of the Contract Documents have been signed or have been identified by Owner and Contractor or on their behalf.

This Agreement will be effective on _____, _____ (which is the Effective Date of the Agreement).

CONTRACTOR:

OWNER:

UNDERGROUND CONTRACTORS

CITY OF FORT WAYNE

BY: _____
(Name)

BY: _____
THOMAS C. HENRY, MAYOR

TITLE: _____

DATE: _____
(Date signed by Contractor)

Address for giving notices:

BOARD OF PUBLIC WORKS

BY: _____
SHAN GUNAWARDENA, CHAIR

BY: _____
KUMAR MENON, MEMBER

BY: _____
MIKE AVILA, MEMBER

ATTEST: _____
MICHELLE FULK-VONDRAN, CLERK

DATE: _____
(Date signed by Board)

CITY OF FORT WAYNE, INDIANA

UNDERGROUND CONTRACTORS, INC
(Vendor Name)

VENDOR DISCLOSURE STATEMENT RELATING TO:

1. **FINANCIAL INTERESTS;**
2. **POTENTIAL CONFLICTS OF INTEREST;**
3. **CURRENT AND PENDING CONTRACTS OR PROCUREMENTS**

Vendors desiring to enter into certain contracts with the City of Fort Wayne, Indiana (the "City") shall disclose their financial interests, potential conflicts of interest and current and pending contract or procurement information as set forth below.

The following disclosures by Vendors are required for all contracts with annual payments by the City in the amount of \$50,000 or more. Vendors shall disclose their financial interests, potential conflicts of interest and other contract and procurement information identified in Sections 1, 2 and 3 below as a prerequisite for consideration for a contract awarded by the City. This Disclosure Statement must be completed and submitted together with the Vendor's contract, bid, proposal or offer.

A publicly traded entity may submit its current 10K disclosure filing in satisfaction of the disclosure requirements set forth in Sections 1 and 2 below.

Section 1: Disclosure of Financial Interest in Vendor

a. If any individuals have either of the following financial interests in Vendor (or its parent), please check all that apply and provide their names and addresses (attach additional pages as necessary):

(i) Equity ownership exceeding 5%

(ii) Distributable income share exceeding 5%

(iii) Not Applicable (If N/A, go to Section 2)

Name: _____ Name: _____

Address: _____ Address: _____

b. For each individual listed in Section 1a. show his/her type of equity ownership:

sole proprietorship stock
partnership interest units (LLC)
other (explain) _____

c. For each individual listed in Section 1a. show the percentage of ownership interest in Vendor (or its parent):
ownership interest:

Name: _____ %

Name: _____ %

Section 2: Disclosure of Potential Conflicts of Interest (not applicable for vendors who file a 10K)

For each individual listed in Section 1a, check "Yes" or "No" to indicate which, if any, of the following potential conflict of interest relationships apply. If "Yes", please describe using space under applicable subsection (attach additional pages as necessary):

- a. City employment, currently or in the previous 3 years, including contractual employment for services:
Yes _____ No

- b. City employment of "Member of Immediate Family" (defined herein as: Spouse, Child, Step Child, Parent or Step Parent, Father-in-law or Mother-in-law, Brother or Sister, Step Brother or Step Sister, Half Brother or Half Sister, Brother-in-law or Sister-in-law, Son-in-law or Daughter-in-law, Grandparent or Step Grandparent, Grandparent or Step Grandparent of Spouse, Grandchild)
Including contractual employment for services in the previous 3 years:
Yes _____ No

- c. Relationship to Member of Immediate Family holding elective City office currently or in the previous 3 years: Yes _____ No

Section 3: DISCLOSURE OF OTHER CONTRACT AND PROCUREMENT RELATED INFORMATION

- a. Does Vendor have current contracts (including leases) with the City? Yes No _____

If "Yes", identify each current contract with descriptive information including purchase order or contract reference number, contract date and City contact below (attach additional pages as necessary).

LIXINGER ROAD WATERMAIN REPLACEMENT 382,945.00

- b. Does Vendor have pending contracts (including leases), bids, proposals, or other pending procurement relationship with the City? Yes _____ No

If "Yes", identify each pending matter with descriptive information including bid or project number, contract date and City contact using space below (attach additional pages as necessary).

c. Does vendor have any existing employees that are also employed by the City of Fort Wayne?

Yes _____ No _____

If "Yes", provide the employee's name, current position held at vendor, and employment payment terms (hourly, salaried, commissioned, etc.).

Name / Position / Payment Terms:

Name / Position / Payment Terms:

Name / Position / Payment Terms:

d. Does vendor's representative, agent, broker, dealer or distributor (if applicable) have any existing employees that are also employed by the City of Fort Wayne? For each instance, please provide the name of the representative, agent, broker, dealer or distributor; the name of the City employee, and the payment terms (hourly, salaried, commissioned, etc.).

Company / Name / Payment Terms: _____

Company / Name / Payment Terms: _____

Section 4: CERTIFICATION OF DISCLOSURES

In connection with the disclosures contained in Sections 1, 2 and 3 Vendor hereby certifies that, except as described in attached Schedule A:

- a. Vendor (or its parent) has not, within the five (5) year period preceding the date of this Disclosure Statement, been debarred, suspended, proposed for debarment declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. No officer or director of Vendor (or its parent) or individual listed in Section 1a. is presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any offense;
- c. Vendor (or its parent) has not, within the five (5) year period preceding the date of this Disclosure Statement, had one or more public transactions (federal, state or local) terminated for cause or default;
- d. No officer or director of Vendor (or its parent) or individual listed in Section 1a. has, within the five (5) year period preceding the date of this Disclosure Statement, been convicted, adjudged guilty, or found liable in any criminal or civil action instituted by the City, the federal or state government or any other unit of local government; and
- e. Neither Vendor, nor its parent, nor any affiliated entity of Vendor, or any of their respective officers, directors, or individuals listed in Section 1a. is barred from contracting with any unit of any federal, state or local government as a result of engaging in or being convicted of: (i) bid-rigging; (ii) bid-rotating; or (iii) any similar federal or state offense that contains the same

elements as the offense of bid-rigging or bid-rotating

- f. Pursuant to IC 5-22-16.5, Vendor hereby certifies they do NOT provide \$20 million dollars or more in goods or services to the energy sector of Iran. Vendor also certifies it is not a financial institution that extends \$20 million dollars or more in credit that will provide goods or services to the energy sector of Iran or extends \$20 million dollars or more in credit to a person identified on the list as a person engaging in investment activities in Iran.

The disclosures contained Sections 1, 2 and 3 and the foregoing Certifications are submitted by

UNDERGROUND CONTRACTORS, INC
(Name of Vendor)

6207 MILWAUKEE RD NEWAUGHL, IN 46778

Address

PHONE 493-3037

Telephone

MAGGIE@MANAGEMENTANDTAXSERVICES
E-Mail Address .COM

The individual authorized to sign on behalf of Vendor represents that he/she: (a) is fully informed regarding the matters pertaining to Vendor and its business; (b) has adequate knowledge to make the above representations and disclosures concerning Vendor; and (c) certifies that the foregoing representations and disclosures are true and accurate to the best of his/her knowledge and belief.

Name (Printed) DAVID SCHWARTZ Title V.P.

Signature David Schwartz Date 4-30-19

NOTE: FAILURE TO COMPLETE AND RETURN THIS FORM WITH YOUR DOCUMENTATION MAY RESULT IN YOUR CONTRACT, OFFER, BID OR PROPOSAL BEING DISQUALIFIED FROM CONSIDERATION.

Interoffice Memo

Date: May 2, 2019
To: Common Council Members
From: Michael Kiester, Manager, City Utilities Engineering
RE: Flutter Road: River Hollow to Wheelock Water Main Extension
Res. / W.O. #66773

Michael Kiester
5-2-2019

Council District # NE

The contractor shall furnish all labor, insurance, equipment, materials and power for the completion of the project: "Flutter Road: River Hollow to Wheelock Water Main Extension" as follows: The installation of 4,770 LF of 12 inch HDPE by Horizontal Directional Drilling starting at River Hollow Road running East to Wheelock Road including all valves, hydrants and appurtenances.

Implications of not being approved: Currently the water main system in this area is not looped and contains dead ends. If not approved, the area will not benefit from looping, increased fire flows or the reliability of having multiple feeds serving the adjacent areas.

If Prior Approval is being Requested, Justify: N/A

This project was advertised to contractors on 4/12/2019 and 4/19/2019.

The contract for Resolution #66773 awarded to Underground Contractors for \$326,100.00 was the lowest most responsive bidder of 4 bidders and 6.25% below the Engineer's estimate of \$347,840.00. The second lowest bidder was \$16,609.96 above Underground Contractors bid.

The cost of said project funded by Water State Revolving Fund.

Council Introduction Date: 5/14/2019

CC: Matthew Wirtz
Diane Brown
File

BILL NO. S-19-05-08

REPORT OF COMMITTEE ON CITY UTILITIES
May 21, 2019

Thomas Didier Chair

Geoff Paddock Co-Chair

All Council Members

An Ordinance approving Construction Contract – Flutter Road: River Hollow to Wheelock Water Main Extension – Resolution/Work Order #66773 - between Underground Contractors and the City of Fort Wayne, Indiana, in connection with the Board of Public Works

Involving a total cost of \$326,100.00

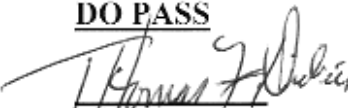
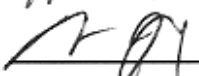
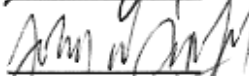
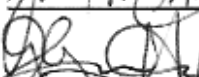



COMMITTEE ON CITY UTILITIES HAVE HAD SAID Ordinance under consideration and beg leave to report back to the Common Council that said Ordinance

DO PASS

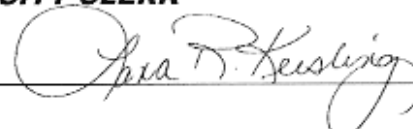
DO NOT PASS

ABSTAIN

NO REC

	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

LANA R. KEESLING
CITY CLERK



Public Hearing Date: N/A

Read the first time in full and on motion by Councilman Didier.

Read the second time by title and referred to the City Utilities Committee.

Read the third time in full and on motion by Councilman Didier, placed on passage by the following vote:

<u>TOTAL VOTES</u>	<u>AYES</u>	<u>NAYS</u>	<u>ABSTAINED</u>	<u>ABSENT</u>
ARP	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
BARRANDA	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
CRAWFORD	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
DIDIER	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ENSLEY	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
FREISTROFFER	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
HINES	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
JEHL	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
PADDOCK	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

DATED: May 28, 2019

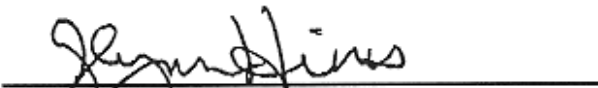


LANA R. KEESLING, CITY CLERK

Passed and adopted by the Common Council of the City of Fort Wayne, Indiana, as
 Special Ordinance No. S-19-05-08 on the 28th day of May, 2019



LANA R. KEESLING
CITY CLERK



PRESIDING OFFICER

Presented by me to the Mayor of the City of Fort Wayne, Indiana, on the 30th
 of May 2019, at the hour of 8:50 o'clock A.M. E.S.T.

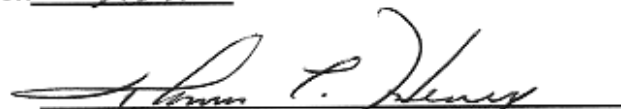


LANA R. KEESLING, CITY CLERK

Approved and signed by me this 31st day of MAY

2019, at the hour of 10:00 o'clock AM E.S.T.

FORT WAYNE, INDIANA
RECEIVED
 MAY 31 2019
 LANA R. KEESLING
 CITY CLERK



THOMAS C. HENRY, MAYOR